



ATTORNEY'S DOCKET NUMBER: 2002941-0051

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Bachovchin *et al.*  
Serial No: 08/950,542  
Filing Date: October 15, 1997  
Patent No: 6,825,169  
Issue Date: November 30, 2004  
Title: INHIBITORS OF DIPEPTIDYL-AMINOPEPTIDASE TYPE IV

Examiner: Lukton, D.  
Art Unit: 1653

MAIL STOP PETITIONS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-145

Sir:

**REQUEST TO CORRECT ASSIGNEE UNDER 37 C.F.R. § 3.81(b)**

Applicant requests that the above-referenced patent be issued in the name of The Trustees of Tufts College and The New England Medical Center Hospitals, Inc. Applicant inadvertently failed to include New England Medical Center Hospitals, Inc. as an assignee on the Issue Fee Payment Transmittal (form PTOL-85B). In support of this request, Applicant submits that the Assignment to the New England Medical Center Hospitals, Inc. was recorded before issuance of the patent. Applicant encloses a copy of the recorded Assignment for New England Medical Center Hospitals, Inc., which was recorded on February 24, 1992, at Reel 6048, Frame 0464.

Applicant has also included a Request for Certificate of Correction to effect this request.

Applicant encloses a check in the amount of \$130.00 for payment of the processing fee as set forth under 37 CFR § 1.17(i). If any additional fees are required to be paid or if any overpayment has been made, please charge or credit same to Deposit Account No. 03-1721.

06/06/2005 MAHME1 00000071 08950542


01 FC:1464

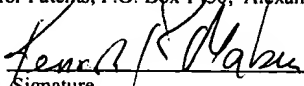
130.00 0P

Respectfully submitted,

Dated: June 1, 2005

PATENT DEPARTMENT  
CHOATE, HALL & STEWART LLP  
Exchange Place  
53 State Street  
Boston, MA 02109  
Tel: (617) 248-5000  
Fax: (617) 248-4000

  
C. Hunter Baker, M.D., Ph.D.  
Registration No. 46,533

Certificate of Mailing	
I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
June 1, 2005 Date	 Signature
Kenneth R. Maben Typed or Printed Name of person signing certificate	

DATE: 05/01/92

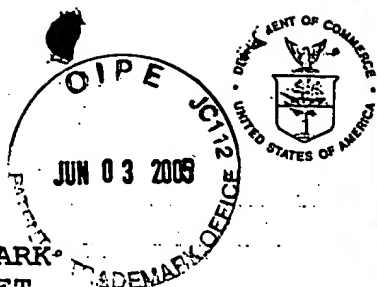
TO:

FISH & RICHARDSON

ATTN: PAUL T. CLARK

225 FRANKLIN STREET

BOSTON, MA 02110-2804



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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Washington, D.C. 20231

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**FISH & RICHARDSON**  
BOSTON, MA

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS, ON THIS NOTICE, PLEASE SEND A REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR:

PLAUT, ANDREW G.

DOC DATE: 12/11/91

RECORDATION DATE: 02/24/92      NUMBER OF PAGES 002      REEL/FRAME 6048/0464

DIGEST :ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:

NEW ENGLAND MEDICAL CENTER HOSPITALS, INC.  
A MA CORPORATION  
BOSTON, MASSACHUSETTS

SERIAL NUMBER      7-781552      FILING DATE      10/22/91  
PATENT NUMBER           ISSUE DATE      00/00/00

**COPY**

**COPY**



# ASSIGNMENT

For valuable consideration, I, ANDREW G. PLAUT, of BOSTON, MA hereby assign to NEW ENGLAND MEDICAL CENTER HOSPITALS, INC., a MASSACHUSETTS corporation having a place of business at BOSTON, MA, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent application Serial No. 07/781,552, filed October 22, 1991, entitled INHIBITORS OF DIPEPTIDYL-AMINOPEPTIDASE TYPE IV

this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at BOSTON, MA

this 11th day of December, 1991

Andrew G. Plaut L.S.  
First Middle Initial Last

ANDREW G. PLAUT

STATE OF MASSACHUSETTS:

:ss.

COUNTY OF SUFFOLK:

Before me this 11th day of December, 1991, personally appeared ANDREW G. PLAUT known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Christine S. Perry  
Notary Public

My Commission Expires: May 24, 1996

[Notary's Seal Here]



5495/009002 13  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FEBRUARY 01, 1996

PTAS

ANITA L. MELKLEJOHN  
FISH & RICHARDSON P.C.  
225 FRANKLIN STREET  
BOSTON, MA 02110-2804

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FEB 08 1996 0071743A\*

FISH & RICHARDSON P.C.  
BOSTON, MA

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/18/1995

REEL/FRAME: 7635/0428  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
BACHOVCHIN, WILLIAM W.

DOC DATE: 08/15/1995

ASSIGNOR:  
FLENTKE, GEORGE R.

DOC DATE: 09/01/1995

ASSIGNEE:  
TRUSTEES OF TUFTS COLLEGE  
MEDFORD, MASSACHUSETTS 02155

SERIAL NUMBER: 08093302  
PATENT NUMBER: 5462928

FILING DATE: 07/15/1993  
ISSUE DATE: 10/31/1995

SERIAL NUMBER: 08459654  
PATENT NUMBER:

FILING DATE: 06/02/1995  
ISSUE DATE:

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* No Docketing Required *
Reviewed By Practice Systems
Initials: <i>Ubb</i>
Reviewed By Billing Secretary
Initials: _____

JOANN STEWART, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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10-03-1995

Attorney Docket No.: 05495/009002  
05495/009003

REC

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D



100071743

Document.

Commissioner of Patents and Trademarks

Name of conveying party(ies):

William W. Bachovchin and George R. Flentke

Additional name(s) attached? ☐ Yes ☒ No2. Name and address of receiving party(ies):  
Trustees of Tufts College

Medford, MA 02155

Additional names/addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other:

Execution Date: August 15, 1995 and  
September 1, 1995

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s):

08/093,302

08/459,654

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No5. Name/address of party to whom correspondence  
concerning document should be mailed:

Anita L. Melklejohn  
 Fish & Richardson P.C.  
 225 Franklin Street  
 Boston, MA 02110-2804

6. Total number of applications/patents involved: 2

7. Total fee (37 CFR 3.41): \$80

- ☒ Enclosed  
☐ Authorized to charge deposit account

8. Deposit account number: 06-1050

If the fee above is being charged to deposit  
 account, a duplicate copy of this cover sheet is  
 attached. Please apply any additional charges, or  
 any credits, to our Deposit Account No. 06-1050.

## DO NOT USE THIS SPACE

090 KJ 09/26/95 08459654

1 581

80.00 CK

9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.*

Anita L. Melklejohn

Name of Person Signing

Signature

Date

14 Sept 1995

Total number of pages including cover sheet, attachments, and document: 3

Date of Deposit

September 14, 1995

I hereby certify under 37 CFR 1.8(a) that this correspondence  
 is being deposited with the United States Postal Service as  
 first class mail with sufficient postage on the date indicated  
 above and is addressed to the Commissioner of Patents and  
 Trademarks, Washington, D.C. 20231.

Carrie A. Amonte  
 Carrie A. Amonte

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Ch. 81-1-1  
MWD

ASSIGNMENT

For valuable consideration, we, William W. Bachovchin, of Melrose, MA;  
George R. Flentke of Madison, WI; hereby assign to TRUSTEES OF TUFTS COLLEGE, a  
MASSACHUSETTS corporation having a place of business at Medford, MA 02155, and its successors  
and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout  
the world in the inventions and improvements which are subject of the following applications for  
United States Patent: application Serial No. 07/781,552, filed October 22, 1991, now application Serial  
No. 08/093,302, filed July 15, 1993; and Patent application Serial No. 08/459,654, filed June 2, 1995,  
both entitled INHIBITORS OF DIPEPTIDYL-AMINOPEPTIDASE TYPE IV

this assignment including said application, any and all United States and foreign patents, utility models,  
and design registrations granted for any of said inventions or improvements, and the right to claim  
priority based on the filing date of said application under the International Convention for the  
Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention,  
and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our  
name or in its own name for patents, utility models, and design registrations and like rights of exclusion  
and for inventors' certificates for said inventions and improvements; and we agree for ourselves and  
our respective heirs, legal representatives and assigns, without further compensation to perform such  
lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful  
documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at \_\_\_\_\_,  
this 1 day of September, 1995  
William W. Bachovchin L.S.  
WILLIAM W. BACHOVCHIN

STATE OF Massachusetts:  
:SS.  
COUNTY OF Suffolk:

Before me this 1 day of September, 1995, personally appeared \_\_\_\_\_  
WILLIAM W. BACHOVCHIN known to me to be the person whose name is subscribed to the  
foregoing Assignment and acknowledged that he executed the same as his free act and deed for the  
purposes therein contained.

Sean Donlon  
Notary Public

SEAN DONLON

My Commission Expires \_\_\_\_\_  
JUSTICE OF THE PEACE  
COMMONWEALTH OF MASSACHUSETTS  
COMMISSION EXPIRES JULY 8, 1999

[Notary's Seal Here]

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COPY

WITNESS WHEREOF, I hereunto set my hand and seal at Madison, Wisconsin  
this 15th day of August, 1995  
George R. Flentke L.S.  
GEORGE R. FLENTKE

STATE OF Wisconsin:

:SS.

COUNTY OF Dane:

Before me this 15th day of August, 1995, personally appeared GEORGE R. FLENTKE known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

George G. Meier  
Notary Public

My Commission Expires: May 3, 1998

[Notary's Seal Here]

135302.b11

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**COPY**